# Randomness and Solovay degrees 

Kenshi Miyabe<br>André Nies<br>Frank Stephan


#### Abstract

We consider the behaviour of Schnorr randomness, a randomness notion weaker than Martin-Löf's, for left-r.e. reals under Solovay reducibility. Contrasting with results on Martin-Löf-randomenss, we show that Schnorr randomness is not upward closed in the Solovay degrees. Next, some left-r.e. Schnorr random $\alpha$ is the sum of two left-r.e. reals that are far from random. We also show that the left-r.e. reals of effective dimension $>r$, for some rational $r$, form a filter in the Solovay degrees.


03D32,68Q30

## 1 Introduction

## Randomness notions

The algorithmic theory of randomness defines randomness notions of reals in $[0,1]$, or equivalently infinite bit sequences, and studies their properties and interactions with computational complexity. The notion of Martin-Löf (ML) randomness was for a long time considered to be the central one. We review the definition. An open set $U \subseteq[0,1]$ is r.e. if it is a union of computable sequence of open intervals with rational endpoints, that is, $U=\bigcup_{i \in \mathbb{N}}\left(p_{i}, q_{i}\right)$ where $\left\langle p_{i}\right\rangle$ and $\left\langle q_{i}\right\rangle$ are computable sequence of rationals. A $M L$-test is a sequence $\left\langle U_{n}\right\rangle$ of uniformly r.e. open sets with $\mu\left(U_{n}\right) \leq 2^{-n}$ where $\mu$ is the Lebesgue measure. A real $x$ is $M L$-random if $x \notin \bigcap_{n} U_{n}$ for every ML-test $\left\langle U_{n}\right\rangle$.
A suite of alternative notions has been introduced by modifying this definition, both stronger and weaker notions than ML-randomness. See e.g. [13, Ch. 3]. Many of these notions have shown their importance in particular for the interaction of randomness and analysis $[14,2]$. One of them is the following weakening of ML-randomness, which will be of importance in the present paper. We say that a real $x$ is $S c h n o r r$ random if it passes all ML-tests $\left\langle U_{n}\right\rangle$ such that $\mu\left(U_{n}\right)$ is computable uniformly in $n$.

## Solovay reducibility

Our central notion is Solovay reducibility $\leq_{s}$. Informally, for left-r.e. reals $\alpha, \beta$, $\alpha \leq_{s} \beta$ expresses that $\alpha$ is no harder to approximate from the left than $\beta$. A formal definition will be given in the beginning of Section 2. This implies $\alpha \leq_{T} \beta$, so $\beta$ can compute $\alpha$. Further, $\alpha \leq_{S} \beta$ also implies that $\beta$ is in a particular sense no less random than $\alpha$. Then, Solovay reducibility is a measure of both computational complexity and algorithmic randomness. Background is provided e.g. in [5, Chapter 9], and also in [13, Section 3.2].

## Main results

For left-r.e. reals, ML-randomness interacts closely with Solovay reducibility: a left-r.e. real is ML-random iff it is Solovay complete (Calude et al. [3] and Kučera-Slaman [9]). As discussed shortly, the complete Solovay degree is join irreducible.

The supremum of two left-r.e. reals in the degree structure induced by Solovay reducibility is given by their arithmetic sum. We are guided by the following two facts that restate some of the results above in terms of the sum. Let $\alpha, \beta$ be left-r.e. reals.
(1) If $\alpha$ is ML-random, then $\alpha+\beta$ is ML-random.
(2) If $\alpha+\beta$ is ML-random, then at least one of $\alpha$ and $\beta$ is ML-random.

A simple direct proof of the first fact can be found in [13, Theorem 3.2.27]. For the second, see Demuth [4], and as a more recent (and more readable) reference Downey, Hirschfeldt, and Nies [7].

Our first goal is to show that both statements fail for Schnorr randomness (Corollary 3.2 and Theorem 4.1). Thereafter, we prove that in contrast, left-r.e. weakly $s$-random reals behave like ML-random reals (Theorem 5.6). The reals that have effective packing dimension at most $s$ behave like non-ML-random reals (Proposition 5.7).

## Preliminaries

Our notation in the algorithmic theory of randomness is standard as in Nies [13] or Downey and Hirschfeldt [5] (except that we write "r.e." instead of "c.e.").

Unless otherwise stated, reals in this paper are in $[0,1]$. A real $\alpha$ is called left-r.e. if there exists a computable non-decreasing sequence $\left\langle a_{n}\right\rangle_{n \in \mathbb{N}}$ of rationals such that $\lim _{n} a_{n}=\alpha$. We sometimes identify a real $\alpha$ with its binary expansion $X \in 2^{\omega}$ and the corresponding set $X=\{n \in \mathbb{N}: X(n)=1\}$. By $X \upharpoonright n$ we denote the initial segment of $X$ of length $n$. We write $f(n) \leq^{+} g(n)$ to mean $f(n) \leq g(n)+O(1)$, that is, there exists a constant $c$ such that $f(n) \leq g(n)+c$ for all $n$.

## 2 Solovay reducibility

### 2.1 Definitions and basic facts

The following was first defined by Solovay in unpublished work.
Definition 2.1 (Solovay [15]) For left-r.e. reals $\alpha$ and $\beta$, we say that $\alpha$ is Solovay reducible to $\beta$, denoted by $\alpha \leq_{S} \beta$, if there exist a constant $c$ and a partial computable function $f: \mathbb{Q} \rightarrow \mathbb{Q}$ such that if $q \in \mathbb{Q}$ and $q<\beta$, then $f(q) \downarrow<\alpha$ and $\alpha-f(q)<c(\beta-q)$.

Solovay's used the terminology " $\alpha$ is dominated by $\beta$ ". Recall that this definition expresses that $\alpha$ is less complex than $\beta$ by asking that $\beta$ is harder to approximate from below. For, let $\alpha_{s}, \beta_{s}$ be computable nondecreasing sequences of rational converging to $\alpha, \beta$ respectively. Then $\alpha \leq s \beta$ if and only if there are a constant $c \in \mathbb{N}$ and a computable function $g$ such that $\alpha-\alpha_{g(n)}<c\left(\beta-\beta_{n}\right)$ for all $n$. For a proof, see again [5, Chapter 9], and also [13, Section 3.2].

There is a useful algebraic characterization of Solovay reducibility: $\alpha \leq_{s} \beta$ means that $\beta$ can be obtained from $\alpha$ by literally "adding" information, in the sense of adding a left-r.e. real $\gamma$. To make this work we also need to scale $\beta$. (For the precise version of the result used here see [13, 3.2.29].)

Proposition 2.2 (Downey, Hirschfeldt, and Nies [7]) For left-r.e. reals $\alpha, \beta$ we have $\alpha \leq_{s} \beta$ if and only if there are $d \in \mathbb{N}$ and a left-r.e. real $\gamma$ such that $\alpha+\gamma=2^{d} \beta$.

### 2.2 Connections to $K$-reducibility

For any reals $\alpha, \beta$ one writes

$$
\alpha \leq_{K} \beta \text { if } \forall n K(\alpha \upharpoonright n) \leq^{+} K(\beta \upharpoonright n)
$$

where $K$ denotes prefix-free Kolmogorov complexity. The Levin-Schnorr theorem says that $X$ is ML-random if and only if $K(X \upharpoonright n) \geq^{+} n$. Then $\alpha \leq_{K} \beta$ says that $\beta$ is no less random than $\alpha$.

For left-r.e. reals $\alpha, \beta$, if $\alpha \leq_{S} \beta$ then $\alpha \leq_{K} \beta$ [15]. This fact also follows from Proposition 2.2. We will now obtain an implication in the converse direction under a hypothesis stronger that $\alpha \leq_{K} \beta$. We write $\alpha<_{K} \beta$ if $\lim _{n}(K(\beta \upharpoonright n)-K(\alpha \upharpoonright n))=\infty$.

Proposition 2.3 Let $\alpha, \beta$ be left-r.e. reals. If $\alpha<_{K} \beta$ then $\alpha<_{S} \beta$.

Notice that in this proposition we can replace $K(\sigma)$ by $C(\sigma), K(\sigma \mid n)$, or $C(\sigma \mid n)$ where $n=|\sigma|$. The proof remains almost the same.

Downey, Hirschfeldt, Laforte [6] showed cl-reducibility version, that is $<_{K}$ implies $\leq_{c l}$. We also note that $\emptyset<_{K} \alpha$ implies that $\alpha$ is wtt-complete [5, Theorem 9.12.2].

Proof First note that $\beta \leq_{S} \alpha$ would imply $\beta \leq_{K} \alpha$, contrary to $\alpha \ll_{K} \beta$. Hence it suffices to show $\alpha \leq_{S} \beta$. If $\beta$ is a rational, $\alpha<_{K} \beta$ does not hold. So $\beta$ is not a rational. If $\alpha$ is a rational, then $\alpha_{s} \beta$ holds trivially. Hence, we can assume that $\alpha$ is not a rational.

Let $\left\langle\alpha_{s}\right\rangle_{s \in \mathbb{N}},\left\langle\beta_{s}\right\rangle_{s \in \mathbb{N}}$ be increasing computable sequences of rationals converging to $\alpha, \beta$ respectively. Given $n \in \mathbb{N}$, let $s_{n}$ be the first stage $s$ such that $\alpha_{s} \upharpoonright n=$ $\alpha \upharpoonright n$. There exists a constant $c \in \mathbb{N}$ such that for each $n$

$$
K\left(\beta_{s_{n}} \upharpoonright n\right) \leq K\left(\alpha_{s_{n}} \upharpoonright n\right)+c=K(\alpha \upharpoonright n)+c
$$

If given $\alpha_{s_{n}} \upharpoonright n$, we can compute the stage $s_{n}$ from the approximation $\left\langle\alpha_{s}\right\rangle$, which also computes $\beta_{S_{n}} \upharpoonright n$, thus the first inequality follows. Since $\lim _{n}(K(\beta \upharpoonright$ $n)-K(\alpha \upharpoonright n))=\infty$, we have $K(\beta \upharpoonright n)>K(\alpha \upharpoonright n)+c$ for sufficiently large $n$. Thus, $\beta_{S_{n}} \upharpoonright n \neq \beta \upharpoonright n$ for all sufficiently large $n$. Furthermore, $\beta \upharpoonright n$ is not equal to the lexicographic successor of $\beta_{s_{n}} \upharpoonright n$, for otherwise $K(\beta \upharpoonright n)$ would be within a constant of $K\left(\beta_{S_{n}} \upharpoonright n\right)$. Hence, $\beta-\beta_{s_{n}} \geq 2^{-n}$.

Define a partial computable function $f: \mathbb{Q} \rightarrow \mathbb{Q}$ by $f(q)=\alpha_{s}$, where $s$ is the first stage such that $q<\beta_{s}$. If $n$ is sufficiently large and $s_{n} \leq s \leq s_{n+1}$, then

$$
\alpha-\alpha_{s} \leq \alpha-\alpha_{s_{n}} \leq 2^{-n}
$$

while

$$
\beta-\beta_{s} \geq \beta-\beta_{s_{n+1}} \geq 2^{-n-1}
$$

Then there is a rational $q^{*}<\beta$ such that $\alpha-f(q) \leq 2(\beta-q)$ for every rational $q$ with $q^{*} \leq q<\beta$. Modifying $f$ on the rationals $\leq q^{*}$ shows that $\alpha \leq s \beta$.

### 2.3 Connections to ML-randomness

We restate the two guiding facts from the introduction. They connect Solovay reducibility and ML-randomness.

Proposition 2.4 Let $\alpha, \beta$ be left-r.e. reals. If $\alpha$ is ML-random, then $\alpha+\beta$ is ML-random.

Here + is the real addition. For a direct proof see [13, Theorem 3.2.27].
By Proposition 2.2, for left-r.e. reals $\alpha, \gamma$, if $\alpha$ is ML-random and $\alpha \leq_{s} \gamma$, then $\gamma$ is ML-random. The implication $\leq_{S} \Rightarrow \leq_{K}$ is an extension of this result by the Levin-Schnorr theorem. This explains that Solovay reducibility is a measure of algorithmic randomness.

Alternatively, recall that a left-r.e. real is ML-random iff it is Solovay complete (Calude et al. [3] and Kučera-Slaman [9]). Proposition 2.4 now follows via Proposition 2.2.

The second fact says that the top degree in the Solovay degrees is join irreducible.

Theorem 2.5 (Demuth [4], Downey, Hirschfeldt, and Nies [7]) Let $\alpha, \beta$ be left-r.e. reals. If $\alpha+\beta$ is $M L$-random, then at least one of $\alpha$ and $\beta$ is $M L$-random.

For left-r.e. reals $\alpha, \beta$, the degree of $\alpha+\beta$ is the least degree above $\alpha, \beta$, thus the degree of $\alpha+\beta$ is the join of $\alpha$ and $\beta$. The theorem says that the join of two non-ML-random left-r.e. reals is not ML-random either, and the top degree can not be the join of two lesser degrees.

## 3 Schnorr randomness is not upward closed in the Solovay degrees

The following will be used to show that for Schnorr randomness, the counterpart of Proposition 2.4 fails.

Theorem 3.1 Let $\alpha$ be a left-r.e. real such that $\forall n K(\alpha \upharpoonright n)<n-f(n)$ for some order function $f$. There exists a left-r.e. real $\beta$ such that $\alpha+\beta$ is disjoint from some infinite computable set.

Proof Suppose $g, h$ are strictly increasing computable functions such that the range of $h$ is the complement of the range of $g$. We define $C=A \oplus_{g} B$ by $C(g(n))=B(n)$ and $C(h(n))=A(n)$.

Let $\alpha$ be as in the statement. If $g$ is a sufficiently fast growing computable function, then $\gamma=\Omega \oplus_{g} \emptyset$ satisfies $K(\gamma \upharpoonright n) \geq^{+} n-\frac{f(n)}{2}$. Note that $\gamma$ is left-r.e. Since $\alpha<_{K} \gamma$, by Proposition 2.3 we have $\alpha \leq_{S} \gamma$. By Prop. 2.2 there exist a natural number $d$ and a left-r.e. real $\beta$ such that $2^{d} \gamma=\alpha+\beta$. Since $\gamma$ is disjoint from an infinite computable set, so is $\alpha+\beta$.

Partial computable randomness is a notion in between Schnorr and ML-randomness. For the definition see [13, Section 7.4].

Corollary 3.2 The left-r.e. Schnorr random reals are not upward closed in the Solovay degrees.

Proof By a result of Merkle [12] (also see [13, Remark 7.4.17]) there exists a left-r.e. Schnorr random real $\alpha$ such that that $K(\alpha \upharpoonright n)=O(\log n)$. Now take $\alpha+\beta \geq_{S} \alpha$ as above. Since $\alpha+\beta$ is disjoint from some infinite computable set, this can not be Schnorr random.

Remark 3.3 The constructed $\alpha$ can be even partial computably random as in [13, Remark 7.4.17]. Furthermore, $\alpha+\beta$ is not even Kurtz random because of its disjointness from an infinite computable set. The definitions of partial computable randomness and Kurtz randomness can be found in [13] and [5].

## 4 Left-r.e. Schnorr random reals can be split into non-Schnorr random reals

We show that the counterpart of Theorem 2.5 for Schnorr randomness does not hold either. Theorem 4.1 below gives a sufficient condition on $\alpha$ so that $\alpha$ is the join of two far-from-random reals. The condition is given by somewhat slow growing initial segment complexity of a left-r.e. real $\alpha$. The condition is weak in the sense that $\alpha$ can still be Schnorr random using [13, Remark 7.4.17] as in the proof of Corollary 3.2.

We show that $\alpha$ can be written as a sum of left-r.e. reals which are far from random in various senses. One way to express this is saying that their effective Hausdorff dimensions are 0 .

We recall the definition here. By [10], for a real $X \in 2^{\omega}$, the effective Hausdorff dimension $\operatorname{dim}(X)$ can be characterized by

$$
\begin{equation*}
\operatorname{dim}(X)=\liminf _{n} \frac{K(X \upharpoonright n)}{n} \tag{1}
\end{equation*}
$$

By [1] the effective packing dimension $\operatorname{Dim}(X)$ can be characterized by

$$
\begin{equation*}
\operatorname{Dim}(X)=\limsup _{n} \frac{K(X \upharpoonright n)}{n} \tag{2}
\end{equation*}
$$

Let a be a Solovay degree. Recall that Solovay reducibility implies $K$-reducibility. For $\alpha, \beta \in \mathbf{a}$ we have $\alpha \equiv_{K} \beta$ and hence $\operatorname{dim}(\alpha)=\operatorname{dim}(\beta)$. So we may welldefine $\operatorname{dim}(\mathbf{a})=\operatorname{dim}(\alpha)$ for some real $\alpha \in \mathbf{a}$. A survey of effective Hausdorff dimension is given in [11].

Theorem 4.1 Let $\alpha$ be a left-r.e. real such that $C(\alpha \upharpoonright n) \leq n-g(n)$ for all $n$, where $g$ is a computable function such that $\sum_{n} 2^{-g(n)}$ is finite and a computable real. There exist left-r.e. reals $\beta, \gamma$ such that $\alpha=\beta+\gamma, \operatorname{dim}(\beta)=\operatorname{dim}(\gamma)=0$ and both $\beta, \gamma$ are not Borel normal and disjoint from infinite computable sets.

Notice that $\operatorname{dim}(\alpha)$ can be 1 in which case $\alpha$ is more random than $\beta$ and $\gamma$.

Proof Let $\left\langle\alpha_{s}\right\rangle$ be an increasing computable sequence of dyadic rationals converging to $\alpha$. We impose additional properties to $\left\langle\alpha_{s}\right\rangle$. For each $k \in \mathbb{N}$, we can compute $s$ such that $C_{s}\left(\alpha_{s} \upharpoonright n\right)<n-g(n)$ for all $n \leq k$. Since $\alpha_{s}$ is a dyadic rational, $\alpha_{s} \cdot 2^{j}$ is a natural number for some $j$. By taking a subsequence
of such $s$ and considering an increasing computable function $h$ that maps $k$ to $j$, we can assume that $\alpha_{s} \cdot 2^{h(s)}$ is a natural number and $C\left(\alpha_{s} \upharpoonright n\right)<n-g(n)$ for all $s$ and all $n \leq h(s)$.

Let $a_{n}$ be the number of $s$ such that the binary representation of $\alpha_{s+1}-\alpha_{s}$ has a bit 1 at position $n$. The numbers $\left\{a_{n}\right\}$ are uniformly computably approximable from below and

$$
\alpha=\sum_{n} a_{n} \cdot 2^{-n} .
$$

Notice that $a_{n} \leq 2^{n-g(n)}$ for each $n$. For $s$ such that $h(s)<n, \alpha_{s}-\alpha_{s-1}$ does not have a bit 1 at position $n$. For $s$ such that $h(s) \geq n$, we have $C\left(\alpha_{s} \upharpoonright n\right)<n-g(n)$ and there are at most $2^{n-g(n)}$ many different strings $\alpha_{s} \upharpoonright n$.

Now we split the natural numbers into intervals $I_{0}, I_{1}, \ldots$ such that $p\left(\max \left(I_{n}\right)\right)>$ $\left(\min \left(I_{n}\right)\right)^{2}$ for every $n$ where $p: \mathbb{N} \rightarrow \mathbb{N}$ is a computable function such that $\sum_{m \geq n} 2^{-g(m)} \leq 2^{-p(n)}$. For these intervals, the following inequalities hold:

$$
\sum_{m>\max \left(I_{n}\right)} a_{m} \cdot 2^{-m} \leq \sum_{m>\max \left(I_{n}\right)} 2^{-g(m)} \leq 2^{-p\left(\max \left(I_{n}\right)\right)} \leq 2^{-\left(\min \left(I_{n}\right)\right)^{2}}
$$

We define left-r.e. reals $\beta$ and $\gamma$ by

$$
\beta=\sum_{m}\left\{a_{m} \cdot 2^{-m}: \exists n\left(m \in I_{2 n}\right)\right\}, \gamma=\sum_{m}\left\{a_{m} \cdot 2^{-m}: \exists n\left(m \in I_{2 n+1}\right)\right\} .
$$

For $\beta$, the bits at the positions from $\min \left(I_{n}\right)$ to $\left(\min \left(I_{n}\right)\right)^{2}$ are 0 whenever $n$ is odd. This is because, for every $n$, the terms $a_{m} \cdot 2^{-m}$ with $m<\min \left(I_{n}\right)$ affect only digits with positions below $\min \left(I_{n}\right)$ and the terms $a_{m} \cdot 2^{-m}$ with $m>\max \left(I_{n}\right)$ only contribute to a number bounded by $2^{-p\left(\max \left(I_{n}\right)\right)}$ which has non-zero digits only at positions larger than $\left(\min \left(I_{n}\right)\right)^{2}$. By a similar reason, for $\gamma$, the bits at the positions from $\min \left(I_{n}\right)$ to $\left(\min \left(I_{n}\right)\right)^{2}$ are 0 whenever $n$ is even.

This fact implies $\operatorname{dim}(\beta)=\operatorname{dim}(\gamma)=0$. To compute $\left(\min \left(I_{n}\right)\right)^{2}$ bits of $\beta$ for odd $n$, we only need the information of $\min \left(I_{n}\right)$ bits of $\beta$ and of $n$. Thus,

$$
\frac{K\left(\beta \upharpoonright\left(\min \left(I_{n}\right)\right)^{2}\right)}{\left(\min \left(I_{n}\right)\right)^{2}} \leq \frac{K\left(\beta \upharpoonright \min \left(I_{n}\right)\right)+K(n)+O(1)}{\left(\min \left(I_{n}\right)\right)^{2}} \rightarrow 0 .
$$

Furthermore, $\beta$ and $\gamma$ are not Borel normal, as the limit superior of the frequences of subwords of the form $0^{k}$ goes to 1 for each $k$. Finally, $\beta$ is disjoint from the infinite computable set $\left\{\min \left(I_{2 n+1}\right): n \in \mathbb{N}\right\}$, and $\gamma$ from $\left\{\min \left(I_{2 n}\right): n \in \mathbb{N}\right\}$.

## 5 Filters and Ideals related to effective dimension

In this final section, we study the relationship between effective Hausdorff dimension and Solovay degrees. Recall that a nonempty subset $F$ of a partially ordered set $(P, \leq)$ is a filter if
(1) for every $x \in F, x \leq y$ implies $y \in F$,
(2) for every $x, y \in F$, there exists $z \in F$ such that $z \leq x$ and $z \leq y$.

A filter is called principal if $F=\{x: x \geq y\}$ for some $y \in P$.
The ML-random left-r.e. reals are Solovay complete, and therefore induce the trivial filter consisting of the largest element. To treat filters related to dimension, we review the following.

Theorem 5.1 For each rational $r \in(0,1)$, the set of Solovay degrees a such that $\operatorname{dim}(\mathbf{a})>r$ is a filter in the Solovay degrees.

For a proof, we use a generalization of Chaitin's Omega. Let $s \in(0,1]$. Tadaki [16, Theorems 3.1, 3.2] defined

$$
\Omega^{s}=\sum_{U(\sigma) \downarrow} 2^{-\frac{|\sigma|}{s}}
$$

and showed that $s=\operatorname{dim}\left(\Omega^{s}\right)=\operatorname{Dim}\left(\Omega^{s}\right)$. The former equality was independently shown by Mayordomo [10, Corollary 3.3].

Lemma 5.2 Let $r \in(0,1)$ be a real. Suppose $\alpha, \beta$ are left-r.e. reals such that $\operatorname{dim}(\alpha)>r$ and $\operatorname{dim}(\beta)>r$. There is a left-r.e. real $\gamma$ such that $\gamma \leq_{S} \alpha, \gamma \leq_{S} \beta$ and $\operatorname{dim}(\gamma)>r$.

Notice that $r$ need not be a rational.
Proof Let $p, q$ be rationals such that $r<p<q$ and $q<\operatorname{dim}(\alpha), \operatorname{dim}(\beta)$. Then, $\Omega^{p}$ is a left-r.e. real and $\operatorname{dim}\left(\Omega^{p}\right)>r$. Furthermore, by Tadaki's results and (1,2) we have $\Omega^{p}<_{K} \alpha$, and hence $\Omega^{p}<_{S} \alpha$ by Proposition 2.3. Similarly, $\Omega^{p}<_{S} \beta$.

Theorem 5.1 is now immediate from the lemma.
Question 5.3 Let $r \in(0,1]$ be a real. If $\alpha, \beta$ are left-r.e. reals such that $\operatorname{dim}(\alpha) \geq r$ and $\operatorname{dim}(\beta) \geq r$, does there exist $\gamma \leq_{s} \alpha, \beta$ with $\operatorname{dim}(\gamma) \geq r$ ?

Equivalent, is $\{\mathbf{a}: \operatorname{dim}(\mathbf{a}) \geq r\}$ a filter? We give a partial answer to it. By the following result it is not a principal filter.

Proposition 5.4 Let $\alpha$ be a left-r.e. real such that $\operatorname{dim}(\alpha)=r>0$. There exists a left-r.e. real $\beta$ such that $\operatorname{dim}(\beta)=r$ and $\beta<s \alpha$.

Notice that $r$ need not be computable. We do not use $r$ in the construction of $\beta$.

Proof Let $f(n)$ be the biggest natural number less than $n+\sqrt{n}$. Note that $f: \mathbb{N} \rightarrow \mathbb{N}$ is an increasing computable function. Given a lef-r.e. $\alpha$, let $\beta=\{f(n): n \in \alpha\}$. Notice that $\beta$ is a left-r.e. real with the approximation $\beta_{s}=\left\{f(n): n \in \alpha_{s}\right\}$ where $\alpha_{s}$ is an increasing computable approximation of $\alpha$.

Now the following equalities hold:

$$
\begin{aligned}
r & =\liminf \frac{C(\alpha \upharpoonright n)}{n}=\liminf \frac{C(\beta \upharpoonright f(n))}{n} \\
& =\liminf \frac{C(\beta \upharpoonright f(n))}{f(n)} \cdot \frac{f(n)}{n}=\liminf \frac{C(\beta \upharpoonright n)}{n} .
\end{aligned}
$$

To describe $\beta \upharpoonright f(n)$ one only needs to describe the bits in the positions $f(k)$ for $k<n$. Since $f(n+1)-f(n) \leq 2$ for every $n, C(\beta \upharpoonright n)=C(\beta \upharpoonright f(k))+O(1)$ for some $k$.
Clearly $\beta \leq_{s} \alpha$. Assume by way of contradiction that the converse also holds. Then there are constants $c, c^{\prime} \in \mathbb{N}$ such that

$$
C(\alpha \upharpoonright f(n)) \leq C(\beta \upharpoonright f(n))+c^{\prime} \leq C(\alpha \upharpoonright n)+c
$$

Let $k \in \mathbb{N}$. There exists $a_{0} \in \mathbb{N}$ such that $f(n)-n \geq k$ for all $n \geq a_{0}$. Inductively, we define $a_{n+1}=f\left(a_{n}\right)$. Then, $a_{n} \geq a_{0}+k n$. In contrast,

$$
C\left(\alpha \upharpoonright a_{n}\right) \leq C\left(\alpha \upharpoonright a_{n-1}\right)+c \leq C\left(\alpha \upharpoonright a_{0}\right)+c n
$$

Hence,

$$
\lim \inf \frac{C\left(\alpha \upharpoonright a_{n}\right)}{a_{n}} \leq \lim \inf \frac{C\left(\alpha \upharpoonright a_{0}\right)+c n}{a_{0}+k n} \leq \frac{c}{k}
$$

Since $k$ is arbitrary, we have $\operatorname{dim}(\alpha)=0$, which is a contradiction.

Next we consider partial randomness.

Definition 5.5 (Tadaki [16], see also [5, Definition 13.5.1]) A test for weak $s$-ML randomness is a sequence of uniformly r.e. sets of strings $\left\langle V_{k}\right\rangle$ such that $\sum_{\sigma \in V_{k}} 2^{-s|\sigma|} \leq 2^{-k}$. A set $X \in 2^{\omega}$ is weakly $s$-ML-random if $X \notin \bigcap_{k} \llbracket V_{k} \rrbracket$ for all tests for weak $s$-ML randomness.

The strings can be replaced with open intervals with dyadic rational endpoints [5, after Definition 13.5.8].

Tadaki [16] showed that $X$ is weakly $s$-ML-random if and only if $K(X \upharpoonright n)>$ $s n-O(1)$. Hence, for every weakly $s$-ML-random real $X$, we have $\operatorname{dim}(X) \geq s$. It is known that the converse fails. This also follows from the construction of Proposition 5.4.

Further, for left-r.e. reals, being weakly $s$-ML-random is upward closed under $\leq s$.

Theorem 5.6 Let $s \in(0,1] \cap \mathbb{Q}$. The set of left-r.e. weakly-s-random Solovay degrees is a principal filter in Solovay degrees with the degree of $\Omega^{s}$ as the bottom element.

Here, we consider only left-r.e. reals, so we restrict $s$ to be a rational.

Proof We follow the argument of Kučera-Slaman theorem [9]. Let $\alpha$ be a left-r.e. weakly $s$-random real. The goal is to show $\Omega^{s} \leq s \alpha$.

We construct a test for weak $s$-ML-randomness $\left\langle U_{k}\right\rangle_{k \in \mathbb{N}}$. At stage $t$ act as follows. If $\alpha_{t} \in U_{k}[t]$, then do nothing. Otherwise let $t^{\prime}$ be the last stage at which we put anything into $U_{k}$ (or $t=0$ if there is no such stage), and put the intervals $\left(\alpha_{t}, \alpha_{t}+2^{-\frac{k}{s}}\left(\Omega_{t}^{s}-\Omega_{t^{\prime}}^{s}\right)\right.$ ) into $U_{k}$.

Let $t_{0}=0, t_{1}, \cdots$ be the stages at which we put numbers into $U_{k}$. The total weight is bounded by

$$
\sum_{i}\left(2^{-\frac{k}{s}}\left(\Omega_{t_{i+1}}^{s}-\Omega_{t_{i}}^{s}\right)\right)^{s} \leq \sum_{U(\sigma) \downarrow}\left(2^{-\frac{k}{s}}\right)^{s}\left(2^{-\frac{|\sigma|}{s}}\right)^{s} \leq 2^{-k}
$$

Thus, $\left\langle U_{k}\right\rangle$ is a test for weak $s$-ML-randomness.
Since $\alpha$ is weakly $s$-random, there exists a constant $k$ such that $\alpha \notin U_{k}$. Then,

$$
\alpha_{t_{i+1}}-\alpha_{t_{i}}>2^{-k / s}\left(\Omega_{t_{i}}^{s}-\Omega_{t_{i-1}}^{s}\right)
$$

for each $i>0$, so $\Omega^{s} \leq_{s} \alpha$.

Now we turn to ideals, the dual notion of filters. A nonempty subset $I$ of a partially ordered set $(P, \leq)$ is an ideal if
(1) for every $x \in I, y \leq x$ implies $x \in I$,
(2) for every $x, y \in I$, there exists $z \in I$ such that $x \leq z$ and $y \leq z$.

Theorem 2.5 says that the non-ML-random Solovay degrees form an ideal. The following is an easy observation.

Proposition 5.7 For each $r \in[0,1]$, the set of left-r.e. degrees a such that $\operatorname{Dim}(\mathbf{a})<r$ is an ideal of left-r.e. Solovay degrees. We can replace $\operatorname{Dim}(\mathbf{a})<r$ by $\operatorname{Dim}(\mathbf{a}) \leq r$.

Proof Let $\alpha, \beta$ be left-r.e. reals such that $\operatorname{Dim}(\alpha)<r$ and $\operatorname{Dim}(\beta)<r$. Then, $\gamma=\alpha+\beta$ satisfies $\alpha \leq_{s} \gamma$ and $\beta \leq_{s} \gamma$. One can easily check that $K(\gamma \upharpoonright n)={ }^{+} \max (K(\alpha \upharpoonright n), K(\beta \upharpoonright n))([8$, Theorem 7.4]). Hence,

$$
\operatorname{Dim}(\gamma)=\lim \sup \frac{K(\gamma \upharpoonright n)}{n} \leq \lim \sup \frac{\max (K(\alpha \upharpoonright n), K(\beta \upharpoonright n))}{n}<r
$$

The proof in the case that $\operatorname{Dim}(\mathbf{a}) \leq r$ is almost the same.

The set of left-r.e. $K$-trivial degrees is an ideal in the Solovay degrees because the sum of two $K$-trivial reals is again $K$-trivial [8, Theorem 7.2].

## Acknowledgements

Miyabe was supported by JSPS KAKENHI, Grant-in-Aid for Young Scientists (B), Grant Number 26870143. Nies was supported by the Marsden fund of New Zealand, 13-UoA-184. F. Stephan was supported in part by the Singapore Ministry of Education Academic Research Fund Tier 2 grant MOE2016-T2-1-019 / R146-000-234-112. Some of the work was done while F. Stephan was on sabbatical leave to the University of Auckland in Summer 2017

## References

[1] K B Athreya, J M Hitchcock, J H Lutz, E Mayordomo, Effective strong dimension in algorithmic information and computational complexity, SIAM Journal on Computing 37 (2007) 671-705
[2] V Brattka, J S Miller, A Nies, Randomness and differentiability, Transactions of the American Mathematical Society 368 (2016) 581-605
[3] C Calude, P Hertling, B Khoussainov, Y Wang, Recursively enumerable reals and Chaitin Omega numbers, Theoretical Computer Science 255 (2001) 125-149
[4] O Demuth, On constructive pseudonumbers, Commentationes Mathematicae Universitatis Carolinae 16 (1975) 315-331
[5] R Downey, DR Hirschfeldt, Algorithmic Randomness and Complexity, Springer, Berlin (2010)
[6] R G Downey, D R Hirschfeldt, G LaForte, Randomness and reducibility, Journal of Computer and System Sciences 68 (2004) 96-114
[7] R G Downey, D R Hirschfeldt, A Nies, Randomness, computability and density, SIAM Journal on Computing 31 (2002) 1169-1183
[8] R G Downey, D R Hirschfeldt, A Nies, F Stephan, Trivial reals, from: "Proceedings of the 7th and 8th Asian Logic Conferences", (R G Downey, D Ding, S P Tung, Y H Qiu, M Yasugi, editors), Singapore University Press and World Scientific, Singapore (2003) 103-131
[9] A Kučera, T Slaman, Randomness and recursive enumerability, SIAM Journal on Computing 31 (2002) 199-211
[10] E Mayordomo, A Kolmogorov complexity characterization of constructive Hausdorff dimension, Information Processing Letters 84 (2002) 1-3
[11] E Mayordomo, Effective fractal dimension in algorithmic information theory, from: "New Computational Paradigms: Changing conceptions of what is computable", (S B Cooper, B Löwe, A Sorbi, editors), Springer (2007) 259-285
[12] W Merkle, The complexity of stochastic sequences, from: "Conference on COmputational Complexity 2003", volume 64, IEEE Computer Society Press (2003) 230-235
[13] A Nies, Computability and Randomness, Oxford University Press, USA (2009)
[14] A Nies, Lowness, Randomness, and Computable Analysis, from: "Computability and Complexity. Lecture Notes in Computer Science.", (A Day, M Fellows, N Greenberg, B Khoussainov, A Melnikov, F Rosamond, editors), volume 10010, Springer (2017) 738-754
[15] R Solovay, Draft of paper (or series of papers) on Chaitin's work.Unpublished notes, May 1975. 215 pages.
[16] K Tadaki, A Generalization of Chaitin's Halting Probability $\Omega$ and Halting Self-Similar Sets, Hokkaido Mathematical Journal 31 (2002) 219-253

Meiji University, Japan
The University of Auckland, New Zealand
National University of Singapore, Republic of Singapore
research@kenshi.miyabe.name, andre@cs.auckland.ac.nz, fstephan@comp.nus.edu.sg

